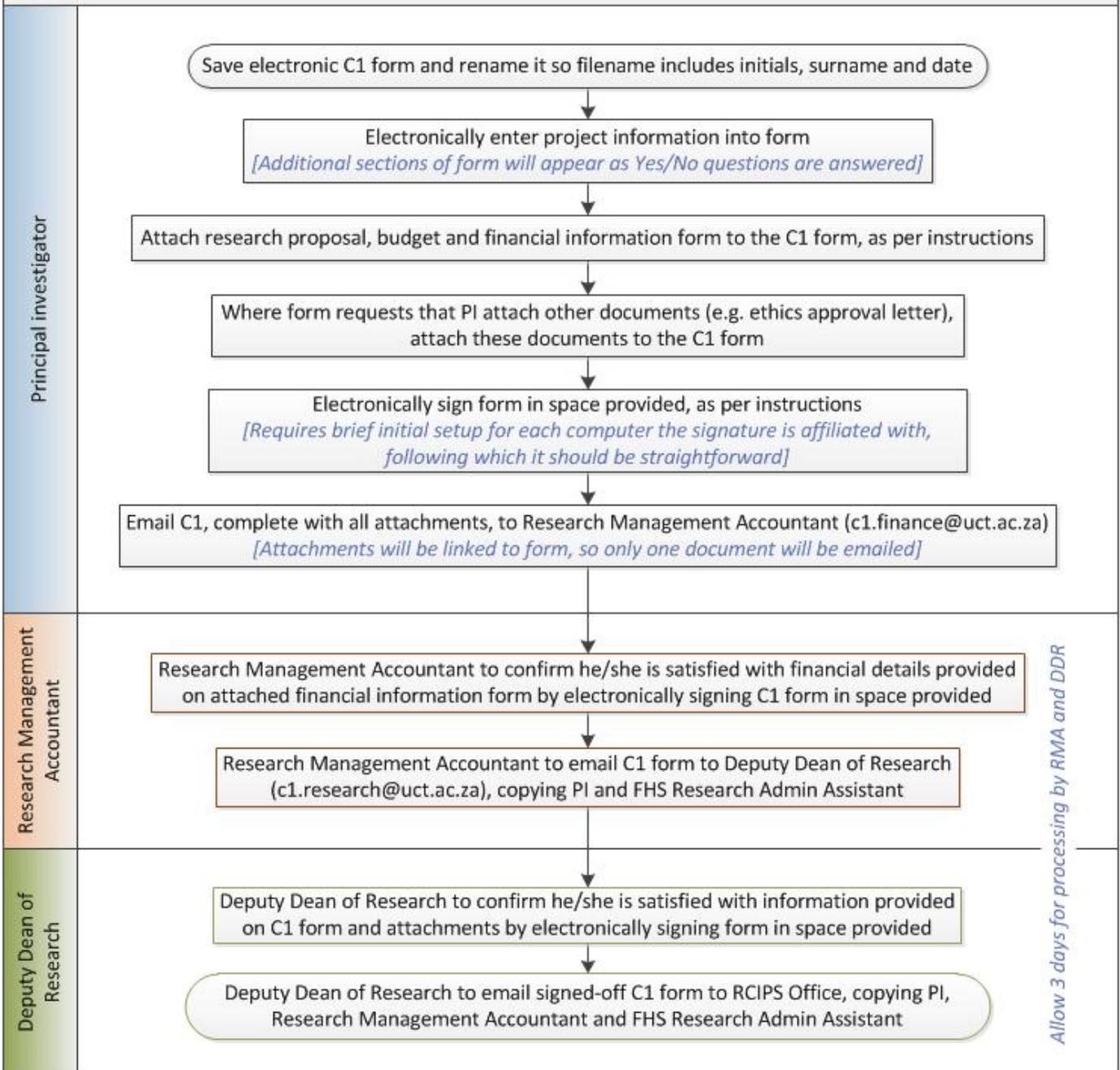


## FHS002: Clearance of research proposals (Also known as form C1)

**The C1 form serves to help manage risk (in terms of resource use, research ethics, health & safety, and finances) to the Faculty of Health Sciences at the time when researchers apply for external grant funding**

### INSTRUCTIONS

- The C1 can be downloaded from the FHS Research Finance web page ([www.health.uct.ac.za/research/governance/finance/forms](http://www.health.uct.ac.za/research/governance/finance/forms))
- Before completing the C1, discuss the research with the Departmental/Institute Senior Finance Officer or Research Management Accountant, who will help develop an appropriate budget and complete the financial information form
- The C1 should be completed electronically, with electronic signatures inserted and attachments uploaded where requested. It should be distributed between signatories by email
- The only signatures required are: Principal investigator (PI), Research Management Accountant, Deputy Dean of Research
- Other approvals are to be in the form of attached letters of approval, which, when required, may include:
  - Human Research Ethics Committee approval, Animal Research Ethics Committee approval, Use of radio-isotopes or ionising radiation approval, Biosafety Committee approval
- PIs must attach a copy of the proposal, budget and financial information form (latter from Departmental Senior Finance Officer)
- The signed-off C1 will be submitted to UCT's Research Contracts & IP Services (RCIPS) Office by the Deputy Dean of Research
- For assistance with the C1 process, contact Carlette Hlungwani, Faculty Research Office ([carlette.hlungwani@uct.ac.za](mailto:carlette.hlungwani@uct.ac.za))



**1. Project Profile (researcher to complete)**

Title of project			
Protocol no (if applicable)			
Principal Investigator			
Staff number			
Position & department			
Telephone number			
Email			
Funding source (e.g. Wellcome Trust, NIH, MRC, etc)			
Contact person at funder			
Email & telephone of contact at funder			
Proposal type			
			➔
Will any students be involved in the project?			



## 2. Research Risk

Does research involve human subjects, human samples or human data?					
Is human ethics approval required at the time of grant application?					
The proposed research conforms to UCT/FHS human ethics guidelines as evidenced by the attached approval letter. (PI to attach human ethics approval letter)					
Is this a clinical trial?					
Please list study sites	Which phase is the clinical trial	Will UCT be required to act as a clinical trial sponsor?			
Are there any other substantial potential health and safety risks associated with this research?					
Does research involve animal experimentation?					
					
Does research involve use of radio-isotopes or ionising radiation?					
					
Does research involve recombinant DNA technology or use of genetically modified organisms?					
					

**3. Resource Risk**


**4. Principal Investigator Declaration**

I certify that the above information is correct			
Principal Investigator to electronically sign 		Date	

**5. Financial Clearance**

The finance office confirms that this proposal meets the UCT/FHS financial requirements and guidelines			
Research Management Accountant to sign 			
<a href="mailto:c1.finance@uct.ac.za">c1.finance@uct.ac.za</a>			

**6. Final Clearance (to be completed by Deputy Dean of Research)**

This proposal satisfies Faculty of Health Sciences requirements for submission to an external agency for funding. The following documents are attached, where required. (Deputy Dean of Research to confirm by ticking boxes below)	
<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
 Deputy Dean to electronically sign  <a href="mailto:c1.research@uct.ac.za">c1.research@uct.ac.za</a> Date	