**DDB10 – PhD IP Assessment Form**

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| **Note:**   * This form is used for the protection of Intellectual Property. * This form is compulsory for PhD students registered in Engineering and Built Environment, Health Sciences and Science and must be uploaded, prior to thesis submission, in conjunction with the [DDB09 - Notice of intention to submit PhD thesis](https://forms.uct.ac.za/ddb09.docx). | | | |
| In terms of the UCT Intellectual Property Policy and the Intellectual Property Rights from Publicly Financed R&D Act (No. 51 of 2008), it is a requirement to screen research outputs prior to public disclosure to ensure that any relevant intellectual property has been adequately protected prior to disclosure.  Additional information on the Act, the IP Policy and patentability can be obtained from the RC&I website, see: [www.rci.uct.ac.za/](http://www.rci.uct.ac.za/).  Once completed, this form will be reviewed by Research Contracts and Innovation (RC&I), who fulfil the role of the technology transfer office mandated by the Act.  Take note of thesis copyright issues on the RC&I website (see: *Innovation for UCT* → *Intellectual Property*  → *Protecting your IP* or <https://uct.ac.za/rci/types-disclosure> .  Where necessary, it is possible for students to apply to the Doctoral Degrees Board for confidentiality of a thesis to be maintained temporarily – without affecting your graduation. This is typically associated with a need for patent protection. More details are available from RC&I or the [RC&I website](http://www.rci.uct.ac.za). As examiners need to be placed under non-disclosure agreement this needs to be done well in advance of thesis submission. | | | |
| PhD candidate full name |  | | |
| Student number |  | | |
| Email address |  | | |
| Contact number |  | | |
| Faculty |  | | |
| Department |  | | |
| Supervisor’s full name |  | | |
| Supervisor’s email address |  | | |
| Co-supervisor’s full name |  | | |
| Co-supervisor’s email address |  | | |
| Thesis title |  | | |
| Target hand-in date |  | | |
| **Funder**  Who funded the project? Ignore any bursaries or scholarships received. |  | | |
| **Patenting opportunities**  Describe any IP that you feel may be worth protecting. |  | | |
| **Public disclosure**  Has there already been any presentation or publication of the work? |  | | |
| **Research materials**  Are there any research materials (cultures, software, etc. – for full description, see [UCT IP Policy](https://uct.ac.za/rci/uct-ip-policy)) that have been developed? If so, broadly describe them and indicate who will become the custodian of them, if not your supervisor. |  | | |
| **PhD Candidate** | | | |
| **Signature** |  | Date |  |

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| --- | --- |
| **RC&I Assessment - Office use only** | |
| Protectable IP present? |  |
| Public disclosure status |  |
| Follow-up actions |  |
| IP already protected? |  |
| Funder issues? |  |